

Issue Date: January 11, 2022

Deadline for Questions/Clarification: February 25, 2022 (12:00 Noon EST)

Closing Date: April 29, 2022 (5:00 pm EDT)

Subject: Global Development Alliance Annual Program Statement APS No.: APS-OAA-21-00001

Program Title: APS-OAA-21-00001 Addendum _Digital Invest: Mobilizing Private Capital for Internet Connectivity and Digital Financial Services in Emerging Markets

Dear Prospective Applicant:

Pursuant to the Foreign Assistance Act of 1961, as amended the United States Government, as represented by, The United States Agency for International Development's Innovation, Technology, and Research (ITR) Hub within the Bureau for Development, Democracy, and Innovation (DDI) seeks to develop new partnerships to mobilize private capital for internet connectivity and digital financial services in emerging markets under the Digital Connectivity and Cybersecurity Partnership (DCCP). DCCP is a global, whole of government initiative led by the U.S. Department of State to promote an open, interoperable, reliable, and secure internet. USAID is a primary partner in DCCP and co-chair of its interagency working group.

Subject to the availability of funds, USAID may allocate up to \$2,000,000.00 dollars. Priority will be placed on supporting the most promising approaches to the objectives described herein. USAID reserves the right to fund any or none of the application(s) submitted. All terms and conditions of the FY2020-FY2022 GDA APS apply.

https://www.usaid.gov/sites/default/files/documents/GDA-APS -Partnering for Impact APS-OAA-21-00001 - 10.14.2020.pdf

Issuance of this notice of funding opportunity (NOFO) does not constitute an award commitment on the part of the United States Government nor does it commit the U.S. Government to pay for any costs incurred in preparation or submission of comments/suggestions or an application/Concept Note. Applications are submitted at the risk of the applicant. All preparation and submission costs are at the applicant's expense.

To be eligible for award, the applicant must provide all information as required in this NOFO and meet eligibility standards of this NOFO. This funding opportunity is posted on www.grants.gov and may be amended. Potential applicants should regularly check the website to ensure they have the latest information pertaining to this notice of funding



opportunity.

It is the responsibility of the applicant to ensure that the entire NOFO has been received from the internet in its entirety and USAID bears no responsibility for data errors resulting from transmission or conversion process. If you have difficulty registering on www.grants.gov or accessing the NOFO, please contact the grants.gov Helpdesk at 1-800-518-4726 or via email at support@grants.gov for technical assistance.

Please send any questions to the point of contact identified in section V of this notice. Responses to relevant questions received prior to the deadline will be publicly shared through an amendment to this notice posted to <u>www.grants.gov</u>.

Thank you for your interest in USAID programs.

Best Regards,

/s/

Roderick Watson

Supervisory Agreement Officer

Branch Chief, Office of Acquisition and Assistance



U.S. AGENCY FOR INTERNATIONAL DEVELOPMENT

ANNOUNCEMENT

CALL FOR PARTNERSHIP CONCEPTS

DIGITAL INVEST: MOBILIZING PRIVATE CAPITAL FOR INTERNET CONNECTIVITY AND DIGITAL FINANCIAL SERVICES IN EMERGING MARKETS (FISCAL YEAR 2022)

UNDER EXISTING

GLOBAL DEVELOPMENT ALLIANCE ANNUAL PROGRAM STATEMENT APS No.: APS-OAA-21-00001

PLEASE NOTE: This is an addendum to an existing announcement. All interested organizations should carefully review both this addendum AND the full announcement, which can be found here: <u>https://www.usaid.gov/gda/global-development-alliance-annual-program</u>. Important information contained in the full announcement applies to but is not repeated in this specific addendum.

This program is authorized in accordance with Part 1 of the Foreign Assistance act of 1961, as amended.

Through this Addendum to the 2020-2022 Global Development Alliance (GDA) Annual Program Statement (APS) No. APS-OAA-21-00001 (the GDA APS), USAID's Innovation, Technology and Research Hub (ITR) is making a special call for partnership concepts focused on mobilizing private capital to support digital finance, internet service, and related telecommunication infrastructure companies in emerging markets.

As part of USAID's commitment to strengthen digital economies in its partner countries around the world, this Addendum is soliciting concepts under the "Digital Invest: Mobilizing Private Capital for Internet Connectivity and Digital Financial Services in Emerging Markets" program to catalyze private investment in digital solutions that strengthen open, interoperable, reliable, inclusive, and secure digital ecosystems. This program will support financial and other intermediaries, including debt or equity providers at a project or fund portfolio level, to accelerate sustainable market growth for internet service providers (ISPs) and financial technology companies serving traditionally excluded consumer populations in emerging markets.

The specific objectives of this call for concepts are to:



- 1. Objective 1: Support the sustainable growth and expansion of competitive internet service providers (ISPs) and related telecommunication infrastructure in emerging markets.
- 2. Objective 2: Support the sustainable growth and expansion of competitive financial technology companies in emerging markets.

These objectives will be achieved through the support of new or existing financing facilities providing affordable financing to 1) internet service and related telecommunication infrastructure providers developing open, interoperable, reliable, and secure networks in emerging markets, and 2) financial technology companies providing secure, sustainable digital products and services that promote financial inclusion and new income generation in emerging markets.

USAID will contribute up to \$2,000,000 to this program to develop public-private partnerships with the following resource allocations:

- Two connectivity-focused GDAs, each with up to \$500,000 in USG grant capital and a minimum of \$1,000,000 in private sector resource commitments for each public-private partnership
- Two digital finance-focused GDAs, each with up to \$500,000 in USG grant capital and a minimum of \$1,000,000 in private sector resource commitments for each public-private partnership

USAID will work with potential private sector partners to explore and determine the most high-impact, catalytic, and market-driven use of grant funding in a blended finance approach to mobilize private capital for internet connectivity and digital financial services in emerging markets.

The application window will be open from January 11, 2022, until April 29, 2022. During this time, potential private sector partners that meet basic eligibility criteria can engage in exploratory conversations and co-creation with USAID. As such, applicants are encouraged to submit an initial expression of interest as early as possible, in order to allow sufficient time for co-creation before a final concept is submitted. This process is outlined in further detail in Section IV below.

Unless otherwise stated herein, all terms and conditions of the GDA APS FY 2020-2022 apply (https://www.usaid.gov/gda/global-development-alliance-annual-program).

I. Background

The USAID Innovation, Technology, and Research (ITR) Hub within the Bureau for Development, Democracy, and Innovation (DDI) works to improve the lives of millions of low-income and vulnerable people throughout the world by supporting the development of open, interoperable, reliable, inclusive, and secure digital ecosystems and the adoption of



digital tools and data-driven approaches to improve development outcomes.

Funding for this solicitation is through the Digital Connectivity and Cybersecurity Partnership (DCCP). DCCP is a global, whole of government initiative led by the <u>U.S.</u> <u>Department of State</u> to promote an open, interoperable, reliable, and secure internet. <u>USAID</u> is a primary partner in DCCP and co-chair of its interagency working group. By catalyzing investments in secure, pro-competitive information and communications technology (ICT) infrastructure, promoting regulatory reforms, and encouraging adoption of cybersecurity best practices, DCCP reinforces the benefits of ICT development and services reflecting U.S. and like-minded donor's values over state run, top-down, authoritarian approaches to internet and ICT development, and enables nations to realize the tremendous economic benefits of participation in the digital economy. DCCP activities are implemented in close coordination with relevant USG interagency stakeholders to ensure a whole-of-government approach.¹

Echoing DCCP principles, USAID's <u>Digital Strategy</u> reflects the Administration's Interim National Security Strategy Guidance priority of advancing "open, interoperable, reliable, and secure" internet and data flows across borders. As part of USAID's commitment to strengthening digital ecosystems under USAID's Digital Strategy, USAID/ITR's Digital Invest program seeks to catalyze private investment in digital solutions that strengthen open, inclusive, and secure digital ecosystems in emerging markets.

In 2022, Digital Invest will support the launch or expansion of four new or existing financing facilities to achieve sustainable market growth for competitive ISPs and financial technology companies serving traditionally excluded populations in emerging markets. Digital Invest is one of the diverse tools and capabilities that the U.S. Government seeks to deploy in its <u>Build Back Better World Initiative</u> that will promote a secure and resilient digital ecosystem. Through Digital Invest, U.S. Government funding will be used to crowd in commercial and institutional capital for digital connectivity and finance projects, structured in a manner appropriate for each facility and the company(ies) it seeks to support.

Digital Invest supports the advancement of trustworthy vendors and supply chains in providing internet access and digital financial services. Providing financing for digital financial service and connectivity infrastructure providers that use secure network equipment and employ proper cybersecurity measures will not only advance competition and choice among providers, but will ensure emerging markets have viable, secure alternatives to monolithic, authoritarian-backed companies and models. Priority regions include Africa, Asia, and Latin America and the Caribbean. More details regarding targeted

¹ There are ten USG agencies that support DCCP. In addition to State and USAID, the other agencies are: U.S. Department of Commerce, Export-Import Bank, Department of Homeland Security, U.S. Trade and Development Agency (USTDA), U.S. International Development Finance Corporation (DFC), U.S. Department of the Treasury, Department of Defense, and the Millennium Challenge Corporation (MCC).



facilities can be found below.

II. Solicitation

This call for concepts seeks to develop new and innovative public-private alliances with the private sector to advance the following objectives:

Objective 1: Support the sustainable growth and expansion of competitive internet service providers (ISPs) and related telecommunications infrastructure in emerging markets.

Under this objective, USAID seeks to support a partner providing affordable financing and technical assistance to advance open, interoperable, reliable, and secure networks in currently un- or under- connected areas by supporting:

- a. Investments in ISPs serving both households and business consumers, with a preferred focus on closing digital divides in emerging markets. These ISPs will likely be small, local firms including wireless ISPs, firms that offer community Wi-Fi "hotspots" or local access solutions, and providers that offer fixed internet access to community anchor institutions like health clinics, schools, and universities;
- b. Investments in key infrastructure suppliers to the independent ISP community, such as tower infrastructure firms, data centers, content-delivery networks, equipment suppliers, and wholesale network providers, that target this community; or
- c. The creation of financial vehicles, services, or pay-for-performance bonds that directly support or lower the cost of capital for competitive ISPs that seek to connect community anchor institutions or disadvantaged communities that advance the growth of open, interoperable, reliable, inclusive, and secure digital connectivity in emerging markets.

USAID expects that the ISPs that benefit from Digital Invest will expand their networks and diversify secure supply chains across their business operations, encouraging competition, consumer choice, and network security in USAID partner countries. USAID expects that this focus on innovative, secure equipment alternatives, such as open radio access network technology or similar virtualized network architectures, will help develop a broad market for network equipment beyond incumbent mobile network operators (MNOs). In doing so, Digital Invest will advance the resilience and security of the digital ecosystem in emerging markets and elevate the market presence, standing, and voices of the independent, competitive telecommunications community in emerging markets. Furthermore, it will help accelerate the acquisition of technical understanding and foster such expertise across a wider array of enterprises and institutions and provide opportunities to grow a domestic ICT workforce. A broad, diverse equipment and internet service market can also provide important checks on undue governmental overreach in internet policy and present opportunities to advance multi-stakeholder models of internet governance.



Public-private partnerships under Digital Invest should be aimed at helping the competitive ISP sector grow their operations and provide meaningful connectivity services to new or under-served users. Potential partnerships may include financing (either debt or equity) and assistance for ISPs but may also include financial or other vehicles that support the growth of wholesale network infrastructure companies, secure equipment vendors, and organizations that are working to expand new or upgraded networks in communities where broadband is currently unavailable, unreliable, and/or unaffordable. Digital Invest encourages applications that involve innovative partnership structures, financing, and other facilities that can support the ISP sector in better serving hard-to-reach communities through new business models, scaled operations, or other creative approaches. These may include debt or equity facilities, impact bonds, outcomes funds, or other structures designed to best support local ISPs in developing country environments. USAID grant funding will ultimately be structured in a way that best supports the needs of the ISP sector and the potential partner facility, pending USAID legal restrictions.

Objective 2: Support the sustainable growth and expansion of competitive financial technology companies in emerging markets.

An open, interoperable, reliable, inclusive, and secure digital economy requires more than secure networks and internet access - it also requires robust and secure digital financial services (DFS) provided through these networks. Parallel to this global market demand for safe and secure digital financial solutions in emerging markets, pandemic-induced market constraints have created a sector-wide liquidity crunch and economic shocks, which have disproportionately affected women, small businesses, lower income customers, and rural households in emerging markets.

In response to these market conditions, USAID seeks to partner with financial intermediaries offering affordable financing to financial technology companies providing solutions that promote secure and resilient financial inclusion and income generation in emerging markets. USAID seeks to work with a diverse group of financiers with innovative, creative approaches to address these challenges, especially financial institutions able to offer affordable local currency lending services across the industry. A high priority will be placed on applicants prioritizing consumer and data protections; competitive yet affordable, accessible, and inclusive financial services; and the use of trusted vendors across software and hardware supply chains. Whenever possible, USAID seeks to work with women-owned-and-operated and locally owned-and-operated companies prioritizing diversity and inclusion across their portfolios.

Developing a Partnership Structure with USAID:

Applicants will develop a GDA partnership structure with USAID that leverages grant capital to mobilize private sector capital in emerging markets. USAID may commit up to \$500,000 in grant capital per GDA, with the applicant required to demonstrate private



resource commitments at twice the amount of requested USG funds (i.e., \$1,000,000 in private sector contributions for a \$500,000 grant). This USAID funding may be structured into a fund as first loss capital², used as "top up" grants to existing portfolio companies, put toward technical assistance to accelerate the success of portfolio companies, or for appropriate operational and transaction costs, as determined by both parties as the most catalytic. The specific use of funds will be determined by the blended finance approach jointly developed by USAID and the applicant and is subject to the legal restrictions of USAID grant funding. USAID has a broad portfolio of blended finance transactions to draw on in order to optimize a fund structure for maximum results.

Illustrative Examples of GDA Partnerships and Proposed Use-of-Funds:

- 1. A connectivity-focused financing facility providing:
 - a. Operating capital that results in increased network access, affordability, and/or adoption in un- or under-served areas, communities, or populations
 - b. Capital that supports development or deployment of wholesale network infrastructure or services that support a competitive ISP sector, such as wholesale conduit or antenna deployment, or data centers
 - c. Vendor financing for secure network equipment
 - d. Other investment activity supporting the following target GDA outcomes:
 - i. Increase digital inclusion for consumer and small business populations traditionally un- or under-served by MNOs and other ISPs, with a focus on women, indigenous communities, small businesses, and last mile communities
 - ii. Support local ISPs in developing country environments where it is difficult to acquire operating capital for secure network equipment purchases and network expansion
 - iii. Identify secure, innovative, and cost-effective ways of delivering connectivity in hard-to-reach communities
 - iv. Advance the use of trustworthy vendors in all software and hardware procurement decisions and prioritize network security through robust cybersecurity practices
 - v. Support the sustainable growth of secure and resilient digital ecosystems across emerging markets worldwide, as part of the U.S. Government's Build Back Better World Initiative and other post-COVID economic recovery efforts, with a specific focus on catalyzing private capital.

2. A digital finance-focused investment fund providing:

- a. Working capital that results in increased financial inclusion through improved products/services and targeted new customer acquisition
- b. Growth stage financing for new market expansion that results in increased

² Please note that USAID grant awards are subject to specific restrictions when using a blended finance approach. USAID will work with prospective partners to explore and identify the optimal partnership structure for mutually beneficial results.



financial inclusion and income generation in peri-urban and rural communities

- c. Other investment activity supporting the following target outcomes:
 - i. Increase access to finance for consumer populations traditionally excluded from mainstream digital finance offerings, with a focus on women, small businesses, and rural customer bases
 - ii. Harness innovative business and service delivery models to diversify available digital solutions and service providers (especially women-owned and locally owned companies), driving sustainable growth across digital ecosystems in emerging markets
 - iii. Foster the mainstreaming of a "consumer-first" business culture that prioritizes stringent consumer protections and inclusive market growth regardless of the regulatory environment or market conditions of the local digital ecosystem
 - iv. Support the sustainable growth of secure and resilient digital ecosystems across emerging markets worldwide, as part of the U.S. Government's Build Back Better World Initiative and other post-COVID economic recovery efforts, with a specific focus on catalyzing private capital.

III. Evaluation Considerations and Criteria

This call for concepts is open to all eligible private sector actors with a high value proposition to mobilize private capital to support digital finance, internet service, and related telecommunications infrastructure companies in emerging markets. The following outlines target partner characteristics and partner commitment requirements.

Target Partner Characteristics:

Under this Addendum, USAID will prioritize innovative, cost-effective, private sectordriven concepts. Proposed alliances will be evaluated based on the considerations and criteria set forth in Section VI of the GDA APS. Beyond the core requirements set forth in the GDA APS, USAID is seeking partners offering the following characteristics:

- Deep and extensive access to an investor network relevant to the activity (e.g., large institutional investors and funds, impact investors)
- Deep and extensive market expertise in the ICT industry, especially in the areas of rural digital infrastructure development, financial inclusion, and enabling market conditions for digital ecosystems in emerging markets
- Strong performance record of fundraising in the ICT space, especially in emerging markets
- Strong track record of structured finance and transaction development in partnership with institutional investors, commercial investors, impact investors, and development finance institutions



• Strong communication skill set to ensure effective industry messaging around best practices for building open, inclusive, and secure digital ecosystems in emerging markets

Private Sector Partner Commitments:

Consistent with the terms of the GDA APS, proposed alliances under this call for concepts must leverage private sector resources. A GDA must mobilize and leverage private sector assets, expertise, capabilities, and resources at a level that exceeds the level of resources provided by USAID. For purposes of this addendum, the value of the various private sector resources contributed as inputs to a GDA, and the activities implemented under the GDA should be at least twice as great as the value of resources provided by the USG (a minimum 2:1 ratio).³ Supporting documentation provided with a concept submission must include a letter of intent (LoI) describing the private sector resource contribution to the proposed partnership.

For example, if a GDA concept requests \$500,000 in USAID funding, the applicant must provide a LoI or other documentation demonstrating a minimum \$1,000,000 in private capital commitments this grant funding would mobilize. As there is a large focus on the catalytic use of USAID funds to mobilize private capital, this documentation must represent new capital not previously committed (equity or debt investments already committed to a fund would not count as a private sector commitment, as these investments would have taken place with or without USAID involvement). Applicants able to demonstrate a higher ratio of leveraged capital will be prioritized (preferably 5:1 or greater), as a GDA seeks to utilize USG grant funding in as catalytic a manner as possible.

While USAID prefers higher ratio levels, the review team understands that the time constraints of this call for concepts may limit an applicant's capacity to sufficiently document (via letters of intent) the full representation of potential private capital that may be mobilized as a result of a GDA with USAID, and encourages applicants to clearly represent in a concept note the potential for the proposed GDA to fully leverage requested USAID funding to mobilize a high level of private capital commitments throughout the lifetime of the GDA (typically a 24 month partnership agreement). This will allow the review team to consider the full potential of a concept in addition to supporting documentation provided. Applicants should refer to the <u>GDA APS</u> for more detailed information on the requirements for leverage of private sector resources and contact USAID with specific questions on this eligibility requirement.

The Role of USG Grant Capital:

³ In order for USAID to make an award under this addendum, the private sector leverage should meet the 2:1 standard indicated above. However, if possible, higher levels of private sector leverage - and expanded results reflective of such leverage - would be preferred at time of award. In addition, while 2:1 is the minimum for making an award, USAID expects partners under any GDA to continue mobilizing private sector leverage as feasible over the course of the GDA.



Proposed alliances should clearly articulate why USG grant capital is necessary, and how USAID resources will be used to mobilize private capital commitments resulting in significant investment in the ICT sector in emerging markets. Proposed alliances should articulate a distinct model for blending capital sources and demonstrate a sustainable operating model that can be replicated and/or scaled to address market demand. Proposed alliances should be prepared to justify, measure, and evaluate the effectiveness of their proposed solutions with varying ratios of subsidized-to-private capital.

Demonstrated Additionality:

A GDA must also demonstrate significant additionality, enabling USAID and its selected alliance partners to achieve a greater level of desired results and impact than would be achieved without the GDA. By effectively combining the partners' distinctive private sector resources and competencies, GDAs should accelerate and achieve development outcomes, results, and impact at greater scale, with greater efficiency and effectiveness, and in a more sustainable manner than alternative approaches. USAID will prioritize concepts that demonstrate the most catalytic use of USG grant capital for the proposed activity. USAID is seeking partners with a track record of investing in early-stage startups that drive digital inclusion and access for underserved populations, while driving ethical business practices and industry standards around consumer protections, cybersecurity, and responsible innovation. USAID aims to support women-owned-and-operated and locallyowned-and-operated companies whenever possible.

Geographic Scope:

Proposed partnerships should focus on countries where USAID is currently present. For a full list of USAID presence countries, please see <u>http://www.usaid.gov/where-we-work</u>. While this Addendum is global in scope, priority regions include Africa, Asia, and Latin America. Both single-country and multi-country partnership concepts will be considered.

Legal and Policy Matters:

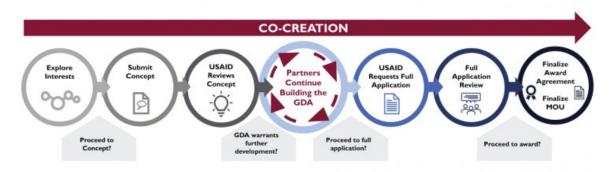
As stated in the GDA APS and the Agency's <u>Private Sector Engagement Policy</u>, concepts should be characterized by market-based approaches and foster enterprise-led development. Proposed alliances should be consistent with USAID legal and policy restrictions, including those set forth in USAID's Automated Directives System (ADS) and in the Foreign Assistance Act of 1961.

IV. Concept Submission Instructions and Review Process

The following is a brief overview of the concept submission process. Applicants are required to follow the concept submission instructions set forth in Section V of the GDA APS and submit concepts using the <u>appropriate template</u> provided in the <u>GDA APS</u> but only after USAID has indicated a formal concept may be submitted (see further details on how to initiate this process below). Award selection and program management will be undertaken by the USAID Digital Finance and Digital Inclusion teams within USAID's



Innovation, Technology, and Research Hub (ITR). Please direct all inquiries to <u>digitalinvest@usaid.gov</u>.



Phase 1: Exploration and Inquiries

Interested parties seeking to explore this opportunity with USAID should contact the USAID review team (digitalinvest@usaid.gov) with a brief summary (2-3 paragraphs) of your proposed partnership scope, and USAID will follow up with select parties to hold an introductory call. Please include in the subject line of the email which objective your concept will address (i.e., "Connectivity Inquiry: PROJECT TITLE" or "Digital Finance Inquiry: PROJECT TITLE"). The USAID review team will then advise the prospective applicant via email as to whether USAID is willing to receive and review a formal concept via the templates referenced below until USAID has indicated a willingness to entertain and review a formal submission.

Phase 2: Concept Note Development

Concepts will be accepted and reviewed on a rolling basis from **January 11, 2022**, **until April 29, 2022**, from all potential partners who have received confirmation from USAID in Phase 1 to submit a concept note. Applicants are encouraged to submit early, in order to allow for additional time to explore and optimize potential partnership structures with USAID. Applicants are also encouraged to coordinate closely with USAID on the proposed partnership structure and proposed use of funds detailed in a concept prior to submission, to resolve inefficiencies and ensure the proposed concept presents the highest impact, mutually beneficial approach possible to achieve maximum results. Please reference Section V of the GDA APS for guidance⁴ and be as concise as possible while clearly articulating the proposed approach and anticipated outcomes. Concepts can be submitted to the USAID review team at <u>digitalinvest@usaid.gov</u>. Please include in the subject line of the email which objective your concept will address (i.e., "Connectivity Concept Note:

⁴There are two ways to submit a concept under the GDA APS depending on whether or not the applicant is a private sector entity listed in <u>Section II.B.</u> USAID strongly recommends private sector entities to submit concepts using the Private Sector <u>Expression of Interest Template</u>, although the <u>Concept Paper Template</u> may also be used.



PROJECT TITLE" or "Digital Finance Concept Note: PROJECT TITLE").

Phase 3: Partnership Development and Award Negotiation

After a concept is reviewed by USAID, applicants will receive communication from USAID indicating whether the team seeks to proceed with formalizing a potential partnership. Depending on what emerges from ongoing discussions, USAID may request a full application or proceed directly to award negotiations. If a full application is required, the applicant will have up to 30 days upon notice to complete and submit this documentation.

V. Questions and Further Assistance

Please direct all inquiries and relevant communications to the USAID review team at <u>digitalinvest@usaid.gov</u>. All emails related to this addendum and the logistics of the development of a GDA under this addendum should also be copied to <u>gda@usaid.gov</u>. Questions regarding the specific terms and requirements of this addendum should be submitted by February 25, 2022.

Please note that frequently asked questions (FAQs) and/or select questions that reveal a need for clarification of matters in the addendum will be posted at grants.gov. The list of FAQs will be revised on an ongoing basis if and as needed. Prospective applicants should regularly check grants.gov for new questions that might get posted. USAID will not post all questions that are submitted; USAID will only post recurring or specific questions USAID determines warrant posting to clarify matters under the addendum.

Questions regarding the substance and terms of the GDA APS itself should be directed to Ken Lee at <u>kenlee@usaid.gov</u>, with a copy to <u>gda@usaid.gov</u>. Please refer to the <u>GDA</u> <u>APS</u> for overall guidance on the GDA process, eligibility requirements, and partnership structures.

VI. FEDERAL AWARD NOTICES

The USAID Agreement Officer (AO) is the only individual who may legally commit the U.S, Government to the expenditure of public funds. Applicants are prohibited from charging or incurring costs to the proposed award prior to receipt of either a fully executed award or a specific, written authorization from the AO. USAID will administer awards in accordance with Parts 700 and 200 of Title 2 of the CFR, and Standard Provisions for U.S./non-U.S. organizations.

VII. AWARD DISCRETION

USAID reserves the right to make, or not to make, awards through this Addendum to the Global Development Alliance Annual Program Statement APS. The actual number of



assistance awards, if any, under this Addendum is subject to the availability of funds, the interests and requirements of USAID, and the viability of concept notes/applications received.

VIII. TYPE OF AWARD USAID

USAID has a number of assistance award types to choose when providing funds under this Annual Program Statement to successful Applicants. Awards that result from this APS Addendum may take the form of a grant (including but not limited to a fixed-amount award) or cooperative agreement (including, but not limited to, framework agreements or Leader with Associates awards).

IX. ADDITIONAL INFORMATION ON AWARD ADMINISTRATION

• ADS Chapter 201 Program Cycle Operational Policy: https://www.usaid.gov/sites/default/files/documents/201.pdf • ADS Chapter 204 Environmental Procedures: https://www.usaid.gov/sites/default/files/documents/204.pdf • ADS Chapter 205 - Integrating Gender Equality and Female Empowerment in USAID's Program Cycle: https://www.usaid.gov/sites/default/files/documents/205.pdf • ADS Chapter 303 Standard Provisions for Non-U.S. Non-governmental Organizations: https://www.usaid.gov/sites/default/files/documents/303mab.pdf • ADS Chapter 303 Standard Provisions for U.S. organizations: https://www.usaid.gov/sites/default/files/documents/1868/303maa.pdf • ADS Chapter 318 Intellectual Property Rights: https://www.usaid.gov/sites/default/files/documents/1876/318.pdf • ADS Chapter 579 USAID Development Data: https://www.usaid.gov/sites/default/files/documents/579.pdf OGrant and Contract Process: https://www.usaid.gov/work-usaid/get-grant-or-contract/grant-and-contract-process • USAID Graphic Standards Manual and Partner Co-branding Guide: https://www.usaid.gov/sites/default/files/documents/1869/USAID GSM-02 04 2020.pdf • Section 889 Partner Information: https://www.usaid.gov/work-usaid/resources-for-partners/section-889-partnerinformation

[END OF ADDENDUM TO APS No: APS-OAA-21-00001]